

## MEDICAL SPA

# PROFESSIONAL LIABILITY INSURANCE APPLICATION

(CLAIMS MADE COVERAGE)

1. Full Name of Applicant: (Include all DBA's and subsidiaries seeking coverage under the policy for which you are applying.)

2. Mailing Address:

3. Other Locations:

4. Web Site Address:

5. Date Established: \_\_\_\_\_ (mm/dd/yy)

6. Type of Entity:    Corporation    Partnership    Individual    LLC    Other (Specify):

7. Is this entity owned by, associated with or controlled by any other entity? Yes      No

If Yes, please explain:

8. Please provide the number of the employees or Independent contractors and whether or not they carry their own individual medical malpractice coverage\* for their services on behalf of this entity:

	<u>Employee</u>	<u>Independent Contractor</u>	<u>Insured on Own Med Mal Policy</u>	<u>Insured Limits</u>
Physicians (no surgery)			<input checked="" type="radio"/> Yes <input type="radio"/> No	
Physicians (surgical)			<input type="radio"/> Yes <input type="radio"/> No	
CRNA's			<input type="radio"/> Yes <input type="radio"/> No	
Physician Assistants			<input type="radio"/> Yes <input type="radio"/> No	
Nurses (RN/LPN/LVN)			<input type="radio"/> Yes <input type="radio"/> No	
Aestheticians			<input type="radio"/> Yes <input type="radio"/> No	
Laser Techs Medical			<input type="radio"/> Yes <input type="radio"/> No	
Assistants Massage			<input type="radio"/> Yes <input type="radio"/> No	
Therapists			<input type="radio"/> Yes <input type="radio"/> No	
Other			<input type="radio"/> Yes <input type="radio"/> No	

\* Please attach copies of declaration pages on all individuals that carry their own medical malpractice.

9. Are all of the above individuals licensed in accordance with applicable State and Federal regulations?      Yes      No  
If No, please provide a detailed explanation:

10. Who Is your Medical Director?

Medical Specialty:

Please indicate below which coverage option you want, or if no coverage is desired for Medical Director, check None:

- |    |   |     |    |
|----|---|-----|----|
| a. | Would you like to include coverage for the Medical Director's administrative duties only?   | Yes | No |
| b. | Would you like to include coverage for the Medical Director's administrative duties & good faith exams only?<br>(If Yes, please attach a completed Medispa Physicians application.) | Yes | No |
| c. | Would you like to include coverage for the Medical Director's administrative duties & direct patient care?<br>(If Yes, please attach a completed Medispa Physicians application.)   | Yes | No |
| d. | None  |     |    |

11. Has the applicant or any of the above employees and/or independent contractors:  
(If the answer to any of the following questions is YES, complete details are required.)

- |    |  |     |    |
|----|--|-----|----|
| a. | Ever been the subject of disciplinary or investigative proceedings or been reprimanded by a governmental or Administrative agency, hospital or professional association? | Yes | No |
| b. | Ever been convicted of a criminal act other than traffic offenses?   | Yes | No |
| c. | Ever been treated for alcoholism or drug addiction?  | Yes | No |
| d. | Ever had any state professional license or license to prescribe narcotics suspended, revoked, renewal refused or restricted, or ever voluntarily surrendered same?       | Yes | No |

12. Please indicate the estimated number of procedures to be performed over the next 12 months in all of the following categories:  
(If you offer a procedure that is not shown below, list it in the box marked OTHER and provide the # of estimated procedures)

**CATEGORY I - NON-INVASIVE, NON-INJECTABLE, NON ABRASIVE SKIN CARE & DAY SPA TYPE PROCEDURES**

<u># Of Procedures</u>	<u># Of Procedures</u>
Body & Facial Waxing	Hyperbaric Treatment
Manicures/Pedicures	Massage
Ear Candling	Weight Loss – Non Surgical and No HCG
Facials	Other:

**CATEGORY II - NON-INVASIVE PROCEDURES, INJECTABLES, ABRASIVE SKIN CARE & NON-LASER REMOVAL PROCEDURES**

<u># Of Procedures</u>	<u># Of Procedures</u>
Acupuncture	Microdermabrasion
BHRT (no pellet insertion)	Permanent Make Up
Brown Spot Removal – Non Laser	Platelet Rich Plasma Therapy (PRP)
Chemical Peels (Light)	Mesotherapy (No PC/DC)
Fillers/Injectables	Skin Tag Removal
Dermaplaning	Stem Cell Therapy
Electrolysis	(Blood Based Stem Cell Harvesting Only)
HCG Injections or Liquid Drops	Wart Removal
	Other:

### CATEGORY III – LASER-BASED PROCEDURES, FAT EMULSION, NON-INVASIVE LIPO PROCEDURES (COLD LASER), ABRASIVE FACIAL PROCEDURES

<u># Of Procedures</u>	<u># Of Procedures</u>
BHRT Pellet Insertion	Laser Hair Removal
Brown Spot Removal	Laser Skin Resurfacing
(Laser Based Treatments)	Liposonix
Cavi-Lipo	Pigmented Lesion Removal
Cold Laser for Fat Reduction	Sclerotherapy
(No Incisions)	Tattoo Removal - Laser Based Treatment
Fraxel Laser Procedures	Thermage
Heavy Chemical Peels	Vein Treatments
IPL	Velashape
Laser Cellulite Treatment	Other:

### CATEGORY IV - MINOR FACIAL COSMETIC SURGERY, NON-LIPOSUCTION BASED COSMETIC SURGERY

<u># Of Procedures</u>	<u># Of Procedures</u>
Blepharoplasty	Threadlifts
Ear Pinning	Other:
Hair Restoration/Hair Transplant Surgery	

### CATEGORY V - COSMETIC SURGERY PROCEDURES AND INVASIVE LIPO PROCEDURES

<u># Of Procedures</u>	<u># Of Procedures</u>
Abdominoplasty/Tummy Tucks	Mesotherapy with PC/DC Smart Lipo
Butt Lift or Augmentation	Face Lifts –
Breast Augmentation	Full Face Laser Lipolysis
Lipolysis	Lipodissolve Stem Cell Therapy
Liposelection	(Fat Based Stem Cell Harvesting)
Liposuction - Tumescant or Other	Other:

13. Do you perform any surgery at this facility that you did not detail above? Yes No

If yes, please provide a list of these surgical procedures and the estimated # of surgeries for the next 12 months.

<u>Type of Surgeries</u>	<u># Of Procedures</u>
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14. What type of anesthesia care is used at the medical spa & who is it administered by?

Administered by:

Local Anesthesia Only

Conscious Sedation

General Anesthesia

Other:

15. Are FDA Approved Drugs ever used for "off-label" purposes?

Yes

No

If Yes, by whom and what is their medical designation. Need a list of the drugs and the "off-label" purposes for which they are used?

16. Do you ever provide any services at locations other than your medical spa?

Yes

No

a. If Yes, please provide the following details:  
What Services?

b. At what locations?

c. Who performs the services & what is their medical designation?

d. How many off-site procedures do you estimate over the next 12 months?

e. Will alcohol be served to these off-site patients?

Yes

No

17. Does this applicant sell any products?

Yes

No

If the answer to any of the following questions is YES, please include brochures.

a. What kind of products?

b. Do any of these products require a physician's prescription?

☐ Yes ☐ No

c. Do you label these products in your own name?

☐ Yes ☐ No

d. Does all labeling and use of drugs have FDA approval?

☐ Yes ☐ No

If No, Please provide details:

18. State sources and amounts of total revenue:

Last 12 months

Estimate for next 12 months

a. Fee for service:

b. Product Sales

c. Other income:

d. Total Gross Revenues

19. If the applicant has a training school, please provide the following: (provide details on last page if more room is needed)

<u>Profession for which students are being trained</u>	<u>Max # of students per session</u>	<u># of sessions per year</u>	<u>% of time in clinical setting</u>	<u>Qualification of Faculty (MD, RN, PHD)</u>
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20. Please provide the following information as respects the last five years of professional liability coverage beginning with the most current coverage: (If none, state NONE.)

<u>Carrier</u>	<u>Limit</u>	<u>Deductible</u>	<u>Premium</u>	<u>Policy Term</u>
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21. What is the retroactive date on your current policy?

22. Is the applicant currently insured under a Commercial General Liability policy? Yes      No  
If Yes, please attach copy of declarations page.

23. Does the applicant own, operate or manage any business other than the one(s) described in this application for which you are applying for coverage? Yes      No

If Yes, please provide complete details, including name of entity, your ownership interest or contractual relationship and information on their insurance program.

24. Has any application for professional liability insurance made on behalf of the applicant, any predecessors in business or present partners ever been declined, cancelled or non-renewed? Yes      No

If Yes, please provide details including name of carrier and dates.

25. Has any claim ever been made against the applicant or any of its employees? Yes      No

If Yes, please complete the Supplemental claim form for each and every claim. [Form Link](#)

26. Is the applicant aware of any circumstances which may result in any claim against them or their employees? Yes      No

If Yes, please provide full details on each incident including name of parties involved, date of treatment and current status of incident.

The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statement and representations made in this application. The applicant understands that any subsequent contract issued by the Company will be issued on a claims made form.

Electronic Signature of  
Applicant or Authorized  
Representative:

Current Date:

Title:

If you prefer not to return Application with an electronic signature, please print and sign below.

Signature of Applicant or  
Authorized Representative

Current Date:

Title:

ADDITIONAL INFORMATION - Please provide the following information with this application:

- a. Advertisements, brochures, descriptive literature
- b. Informed consent document

Please provide any additional details in the space provided: